

## Joint Conference Committee Regulatory Affairs Status Report for November & December 2020

### I. PENDING SURVEYS

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|---|--|
| 1. <b>Joint Commission Triennial Accreditation Survey</b> (Hospitals and Nursing Care Center) | San Francisco is currently a <b>NO-GO-COUNTY</b> |
| 2. <b>Joint Commission Stroke and TBI Certification Visits</b>                                | Negotiating for a Virtual Survey                 |
| 3. <b>4A SNF COVID Mitigation Plan Review:</b> CDPH will review compliance with our plan      | Unannounced every 6-8 weeks beginning July       |
| 4. <b>Commission on Cancer</b>  | <b>SURVEY Scheduled for MAY 6, 2021</b>          |

### II. COMPLETED SURVEYS

- |                  |  |            |  |
|------------------|--|------------|--|
| 1. <b>Survey</b> | 4A SNF COVID-19 Mitigation Infection Control survey  | 11/17/2020 | No Deficiencies  |
| 2. <b>Survey</b> | Joint Commission UNNANOUNCED COMPLAINT<br>Deficiencies included: Documentation of competency<br>Monitoring temperature of Getinge ultrasonic cleaner | 11/24/2020 | Evidence of Standard Compliance Due 2/7/2021<br>LOW/WIDESPREAD<br>LOW/WIDESPREAD |
| 3. <b>FRI</b>    | ED – Unexpected outcome of Post-Partum mother  | 12/9/2020  | No Deficiencies  |

### III. PLANS OF CORRECTION

- |                     |  |            |                          |
|---------------------|--|------------|--------------------------|
| 1. <b>Complaint</b> | Staff complaint to CalOSHA regarding Rodents | 11/12/2020 | Abatement Plan submitted |
|---------------------|--|------------|--------------------------|

### IV. OPEN SITE VISITS – Investigation commenced by CDPH

**COMPLAINT:** submitted by public.

**FRI:** Facility Reported Incident.

**ABUSE:** Long Term Care CMS self report

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|---------------------------|---|---------------|---|
| 2. <b>Complaint</b>       | H76/78 – Quality of Care ACE Unit                               | February 2019 | Ongoing   |
| 3. <b>Complaint</b>       | Nurse staffing – All inpatient units 10/27/2018                 | January 2019  | Documents Requested                             |
| 4. <b>Complaint</b>       | SPD – Infection Control and Staff Accommodations                | 10/7/2019     | Ongoing   |
| 5. <b>Complaints</b>      | ED – Staffing and CareStart. 13 complaints in total             | 11/06/2019    | 5 Closed, 8 Ongoing                             |
| 6. <b>FRI</b>             | ED – Retained Guide Wire  | 11/06/2019    | Ongoing   |
| 7. <b>FRI</b>             | Retained Foreign Object 6G                                      | 1/3/2020      | Documents Requested                             |
| 8. <b>FRI</b>             | Wrong Site Surgery (Cornea) 4M                                  | 1/3/2020      | Documents Requested                             |
| 9. <b>FRI</b>             | Patient Death following Med Error                               | 4/17/2020     | Ongoing – Anticipate Deficiencies               |
| 10. <b>FRI</b>            | Wrong Site Surgery OR Kidney Stent                              | 4/5/2020      | Pending Visit                                   |
| 11. <b>Privacy Breach</b> | 7L  | 3/15/2020     | Pending Visit                                   |
| 12. <b>Privacy Breach</b> | HIS   | 4/24/2020     | Pending Visit                                   |
| 13. <b>ABUSE</b>          | Allegation of Staff to Resident Abuse 4A                        | 6/1/2020      | Documents Requested                             |
| 14. <b>FRI</b>            | Wrong Site Surgery OR Kidney Stent                              | 6/8/2020      | Documents Requested and onsite survey commenced |
| 15. <b>HAPI</b>           | Stage III Mid-Coccyx (Unavoidable) H44                          | 6/17/2020     | Pending Visit                                   |
| 16. <b>FRI</b>            | Fall with Injury H38  | 7/8/2020      | Pending Visit                                   |
| 17. <b>ABUSE</b>          | Allegation of Staff to Resident Abuse 4A                        | 7/17/2020     | Documents Requested                             |
| 18. <b>FRI</b>            | Patient to Patient Abuse, 7C                                    | 8/7/2020      | Pending Visit                                   |
| 19. <b>FRI</b>            | Elopement, H42/44   | 8/7/2020      | Pending Visit                                   |
| 20. <b>Privacy Breach</b> | 3 ED Patient records stolen for a Social Workers car off campus | 7/31/2020     | Pending Visit                                   |
| 21. <b>FRI</b>            | Fall sustaining fractured mandible H54                          | 8/13/2020     | Pending Visit                                   |

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22. <b>FRI</b>	HAPI, H38	8/19/2020	Pending Visit
23. <b>FRI</b>	Alleged Sexual Assault, PES	9/3/2020	Documents Requested
24. <b>FRI</b>	Fall, 4A	9/8/2020	Pending Visit
25. <b>FRI</b>	Fall, 4A	9/14/2020	Documents Requested
26. <b>FRI</b>	Resident to Resident Abuse, 4A	9/14/2020	Pending Visit
27. <b>Privacy Breach</b>	3D – appointment information sent to wrong patient	9/8/2020	Pending Visit
28. <b>FRI</b>	ED – Fall with injury	10/5/2020	Documents Requested
29. <b>FRI</b>	7C – Alleged Sexual Assault	10/13/2020	Pending Visit
30. <b>FRI</b>	7C – Alleged Sexual Assault	10/18/2020	Pending Visit
31. <b>FRI</b>	HAPI H76	10/19/2020	Documents Requested
32. <b>Privacy Breach</b>	PHI sent via email to incorrect patient	10/4/2020	Pending Visit
33. <b>FRI</b>	Alleged Sexual Assault 7C	10/21/2020	Pending Visit
34. <b>FRI</b>	Alleged Sexual Assault 7C	10/21/2020	Pending Visit
35. <b>FRI</b>	HAPI H78	10/26/2020	Documents Requested
36. <b>FRI</b>	Fall with Injury H 64	10/29/2020	Pending Visit
37. <b>FRI</b>	Elopement H64 (Patient returned to ED)	10/31/2020	Pending Visit
38. <b>FRI</b>	HAPI H64	11/2/2020	Pending Visit
39. <b>FRI</b>	HAPI H34	11/2/2020	Documents Requested
40. <b>FRI</b>	Alleged Staff to Patient Assault Radiology	11/5/2020	Pending Visit
41. <b>FRI</b>	Alleged Sexual Assault 7B	11/10/2020	Pending Visit

### V. NEW FACILITY REPORTED INCIDENTS (FRI)

**COMPLAINT:** submitted by public.

**FRI:** Facility Reported Incident.

**ABUSE:** Long Term Care CMS self report

1. <b>Privacy Breach</b>	Test Result provided to patient and relative overheard	11/02/2020
2. <b>FRI</b>	Retained Foreign Object H22	11/17/2020
3. <b>FRI</b>	HAPI H34	11/17/2020